

Novel EGFR TKI Theliatinib: An Open Label, Dose Escalation Phase I Clinical Trial

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EGFR: highly active in multiple tumor types

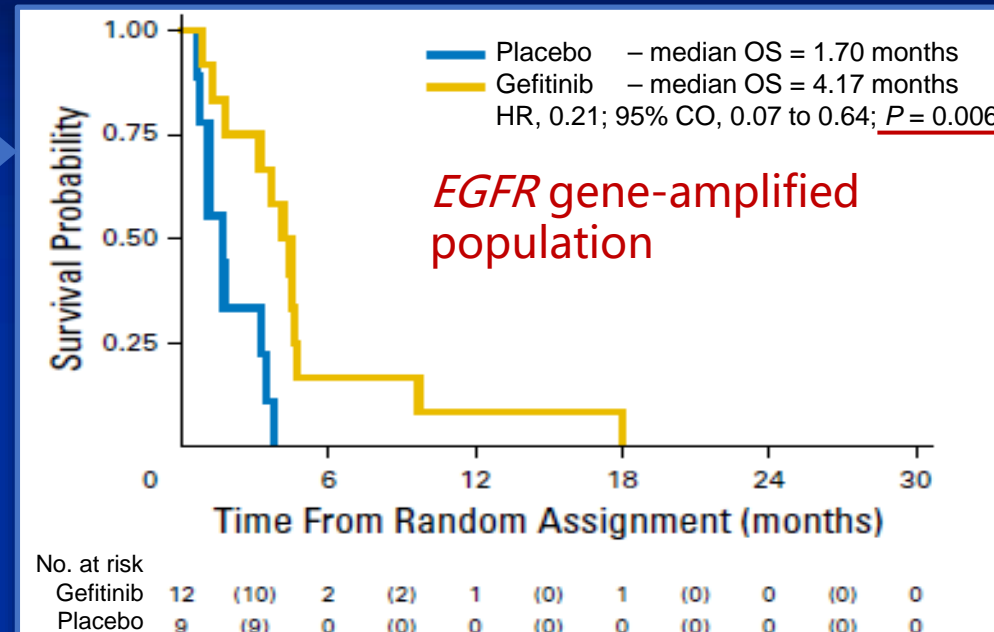
| Tumor Types | Wild-type: Gene Amplification | Wild-type: Over Expression | Mutations |
|---------------|-------------------------------|----------------------------|---------------------------------|
| NSCLC | 29% | 62% | 15-40% (EGFR TKIs) |
| Esophagus | 8-30% | 30-90% | 12% (esophageal adenocarcinoma) |
| Stomach | 29% | 44-52% | <5% |
| Glioblastoma | 36-51% | 54-66% | 27-54% (EGFR variant III) |
| Colorectal | 4.5% | 53% (mAbs) | 8% |
| Head and neck | 10-30% | 66-84% (mAbs) | 42% (EGFR variant III) |

- EGFR inhibitors have had success in the treatment of EGFR-mutant lung cancer
- However, there are multiple tumor types harboring EGFR gene amplification and over-expression; those using EGFR as a target are still at the exploratory stage

Example: Is EGFR a feasible target in esophageal cancer (EC)?

| Subgroup Analysis | Treatment | Overall Survival (OS) |
|-----------------------------------|-----------|-----------------------|
| EGFR gene-amplified (21, 7.2%) | gefitinib | HR=0.21, p=0.006 |
| | placebo | |
| EGFR FISH+ (59, 20.2%) | gefitinib | HR=0.59, p=0.05 |
| | placebo | |
| EGFR FISH- (233, 79.8%) | gefitinib | HR=0.90, p=0.46 |
| | placebo | |

Petty RD *etc.*, J Clin Oncol. 2017; 35(20):2279-2287



- In EGFR gene-amplified and EGFR FISH+ patients, gefitinib group OS was significantly increased, particularly in gene-amplified patients
- However, <10% of patients are EGFR gene-amplified; consider exploring other screening methods?
E.g. high EGFR protein expression

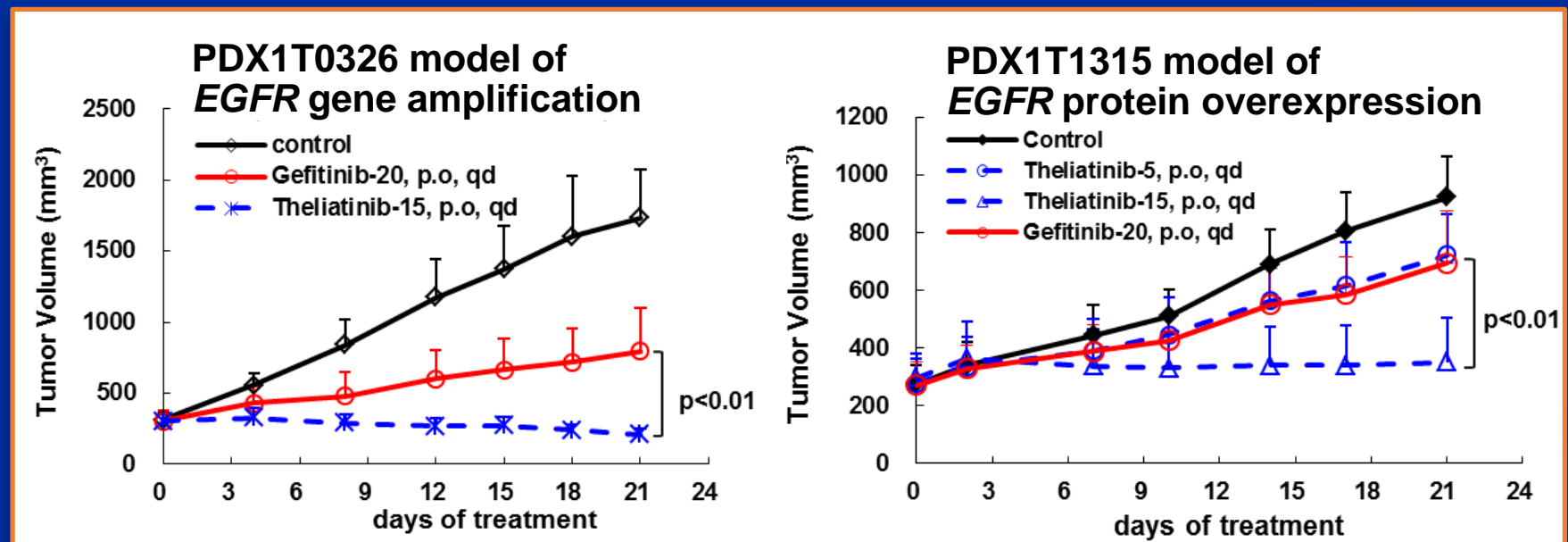
Identifying the benefiting population, and finding a more potent EGFR TKI, will be keys to clinical trial success

Theliatinib: a novel, potent EGFR-TKI

- EGFR kinase affinity:

| Inhibition activity to wild-type EGFR | Ki (nM) |
|---------------------------------------|---------|
| Theliatinib | 0.05 |
| Gefitinib | 0.35 |
| Erlotinib | 0.38 |

- Esophageal cancer PDX model anti-tumor activity:
good activity on *EGFR* gene-amplification and over-expression models



Phase I Study Design

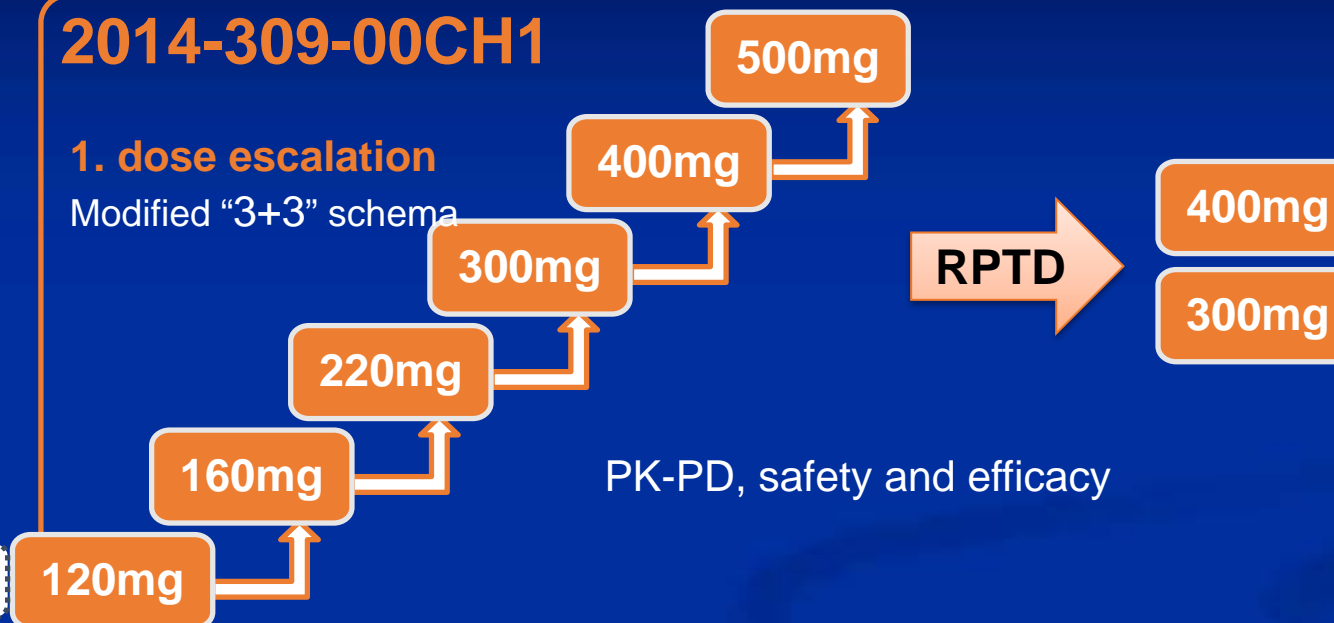
2010-309-00CH1 (Terminated)

10-90mg continuous dosing
Safety good, tolerated
No DLT
MTD not determined



2014-309-00CH1

1. dose escalation Modified "3+3" schema



PK-PD, safety and efficacy

2. dose expansion

Target population: EGFR+ esophageal cancer (EC)

Sample size: Simon Optimal two-stage design

P0: ORR \leq 8%

P1: ORR \geq 25%

2014-309-00CH1 Study Objectives

Primary endpoint

- Determine thelatinib **tolerability and safety** in advanced solid tumor patients, **MTD and/or RPTD for Phase II**

Secondary endpoint

Dose escalation

- Evaluate thelatinib single dose and continuous dosing PK/PD profile in humans
- Evaluate thelatinib metabolites' profiles

Dose expansion

- Evaluate thelatinib **primary anti-tumor activity, safety and PK** in EC patients with EGFR protein-overexpression or gene-amplification positive

Exploratory

- Explore EGFR pathway relevant proteins' expression/gene mutation, and their relevance to tumor response, safety and possible drug resistance mechanism
- Explore dose exposure-efficacy relationship

Main inclusion/exclusion criteria

Inclusion Criteria

Dose escalation:

- PS: 0-2
- Metastatic solid tumors
- Failed standard treatments
- >300mg/day cohort must have EGFR positive* esophageal cancer (EC), head & neck cancer or NSCLC

Dose expansion:

- PS: 0-1
- EGFR+ EC patient, confirmed by central lab
- ≤2 systemic chemotherapy
- ≤1 concurrent radiotherapy and chemotherapy
- Measurable target lesions

Exclusion Criteria

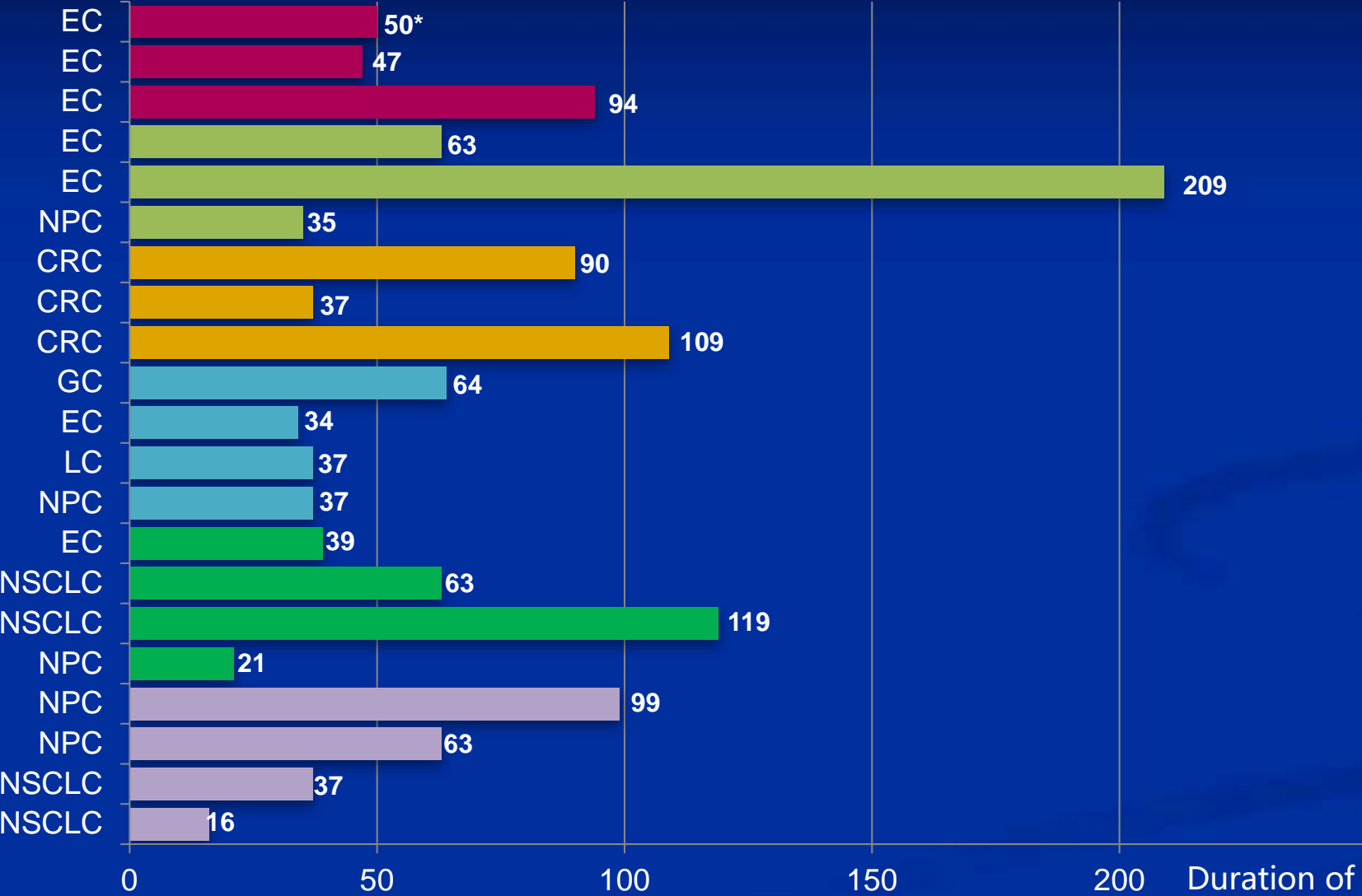
- Obvious abnormality in routine blood, liver and kidney, blood coagulation function
- Poor blood pressure control
- Before thelialtinib first administration:
 - systemic anti-tumor treatment, radiotherapy, chemotherapy, immunology, biological or hormone therapy, or clinical trials drug therapy in the past 3 weeks;
 - Chinese medicine anti-tumor treatment in the prior week;
 - TKI treatment in the prior week:
- Previous treatment with EGFR antibodies or EGFR-TKI (not applicable to dose escalation)
- Toxicity from previous treatment not recovered; inability to take medication orally, dysphagia; CNS metastatic disease; Uncontrolled active infections

Patient baseline

| | | Patients N=21 (%) |
|---------------------|--------|----------------------|
| Age: median (range) | | 57 (28-64) |
| Gender | Male | 15 (71.43) |
| | Female | 6 (28.57) |
| ECOG rating | 0 | 5 (23.81) |
| | 1 | 16 (76.19) |
| | 2 | 0 (0) |
| Tumor type | EC | 7 (33.33) |
| | NSCLC | 5 (23.81) |
| | NPC | 5 (23.81) |
| | CRC | 3 (14.29) |
| | GC | 1 (4.76) |

| | | Patients N=21 (%) |
|-----------------------|--------------------|----------------------|
| Pathology type | adenocarcinoma | 8 (38.10) |
| | squamous carcinoma | 7 (33.33) |
| | Others | 4 (19.05) |
| | Not clear | 2 (9.52) |
| Previous treatment | <3 | 7 (33.33) |
| | ≥3 | 14 (66.67) |
| Smoking history | No smoking | 10 (47.62) |
| | Current smoking | 8 (38.10) |
| | Previous smoking | 3 (14.29) |

Duration of treatment



| Dose | N |
|-------|---|
| 120mg | 4 |
| 160mg | 4 |
| 220mg | 4 |
| 300mg | 3 |
| 400mg | 3 |
| 500mg | 3 |

*1 EC patient is on treatment

Related AEs by dose cohort (incidence $\geq 10\%$)

| AEPT | 120mg N=4 n(%) | 160mg N=4 n(%) | 220mg N=4 n(%) | 300mg N=3 n(%) | 400mg N=3 n(%) | 500mg N=3 n(%) | Total N=21 n(%) |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| Rash | 2 (50.0) | 2 (50.0) | 2 (50.0) | 2 (66.7) | 3 (100.0) | 1 (33.3) | 12 (57.1) |
| Diarrhea | 1 (25.0) | 2 (50.0) | 3 (75.0) | 2 (66.7) | 2 (66.7) | 0 | 10 (47.6) |
| Hemoglobin decrease | 0 | 0 | 2 (50.0) | 3 (100.0) | 1 (33.3) | 3 (100.0) | 9 (42.9) |
| Nausea | 0 | 1 (25.0) | 2 (50.0) | 1 (33.3) | 1 (33.3) | 2 (66.7) | 7 (33.3) |
| WBC decrease | 0 | 1 (25.0) | 1 (25.0) | 2 (66.7) | 0 | 2 (66.7) | 6 (28.6) |
| Weight lose | 0 | 1 (25.0) | 0 | 1 (33.3) | 2 (66.7) | 1 (33.3) | 5 (23.8) |
| Palmar-plantar erythrodysesthesia | 0 | 1 (25.0) | 0 | 2 (66.7) | 1 (33.3) | 0 | 4 (19.0) |
| Platelet decrease | 0 | 1 (25.0) | 0 | 0 | 1 (33.3) | 1 (33.3) | 3 (14.3) |
| Mouth ulcer | 0 | 0 | 2 (50.0) | 0 | 0 | 1 (33.3) | 3 (14.3) |
| Vomit | 1 (25.0) | 0 | 0 | 0 | 0 | 1 (33.3) | 2 (9.5) |
| Dry skin | 1 (25.0) | 0 | 0 | 1 (33.3) | 0 | 0 | 2 (9.5) |
| AST increase | 1 (25.0) | 0 | 0 | 1 (33.3) | 0 | 0 | 2 (9.5) |
| Rash | 0 | 0 | 0 | 0 | 2 (66.7) | 0 | 2 (9.5) |
| Diarrhea | 0 | 0 | 0 | 1 (33.3) | 0 | 1 (33.3) | 2 (9.5) |
| Hemoglobin decrease | 0 | 0 | 0 | 2 (66.7) | 0 | 0 | 2 (9.5) |
| Nausea | 1 (25.0) | 0 | 0 | 1 (33.3) | 0 | 0 | 2 (9.5) |
| WBC decrease | 0 | 0 | 1 (25.0) | 0 | 0 | 1 (33.3) | 2 (9.5) |

AEs ≥ G3 and SAEs

AEs ≥ G3

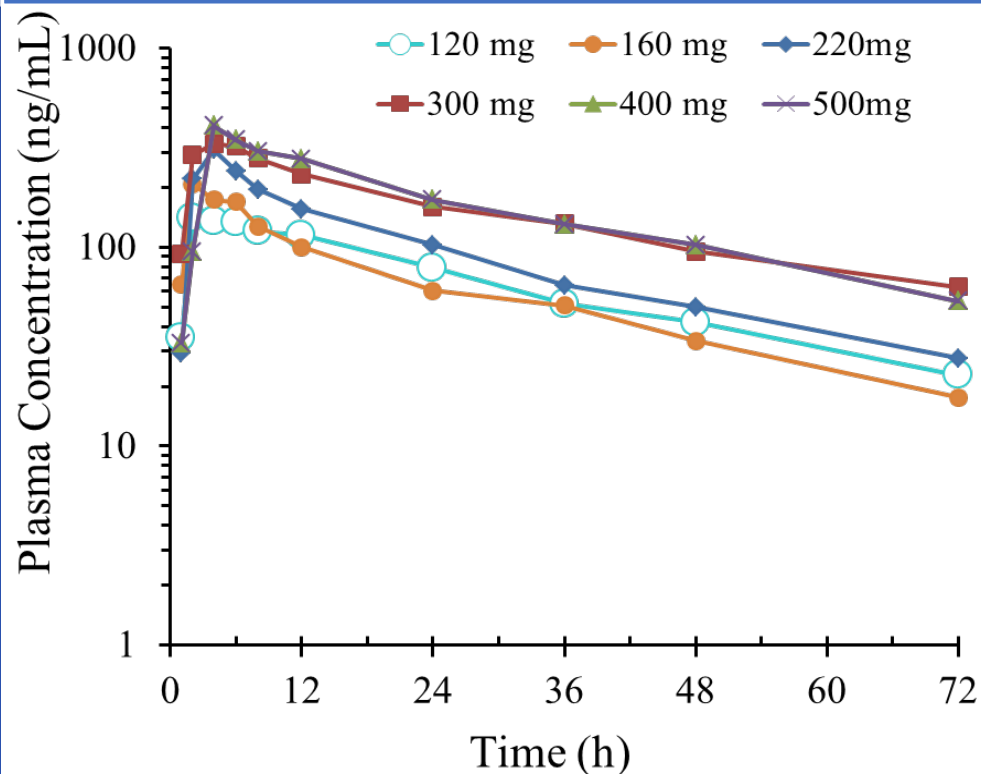
| AEPT | CTC Grade 3/4/5 | 120mg N=4 n(%) | 160mg N=4 n(%) | 220mg N=4 n(%) | 300mg N=3 n(%) | 400mg N=3 n(%) | 500mg N=3 n(%) | Sum N=21 n(%) |
|------------------------|--------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------------------|
| Gastrointestinal bleed | CTC G3 | 0 | 0 | 0 | 0 | 1 (33.3) | 0 | 1 (4.8) |
| WBC decrease | CTC G3 | 0 | 0 | 0 | 0 | 0 | 1 (33.3) | 1 (4.8) |
| Hemoglobin decrease | CTC G4 | 0 | 0 | 0 | 0 | 0 | 1 (33.3) | 1 (4.8) |
| Platelet decrease | CTC G4 | 0 | 0 | 0 | 0 | 0 | 1 (33.3) | 1 (4.8) |

SAEs

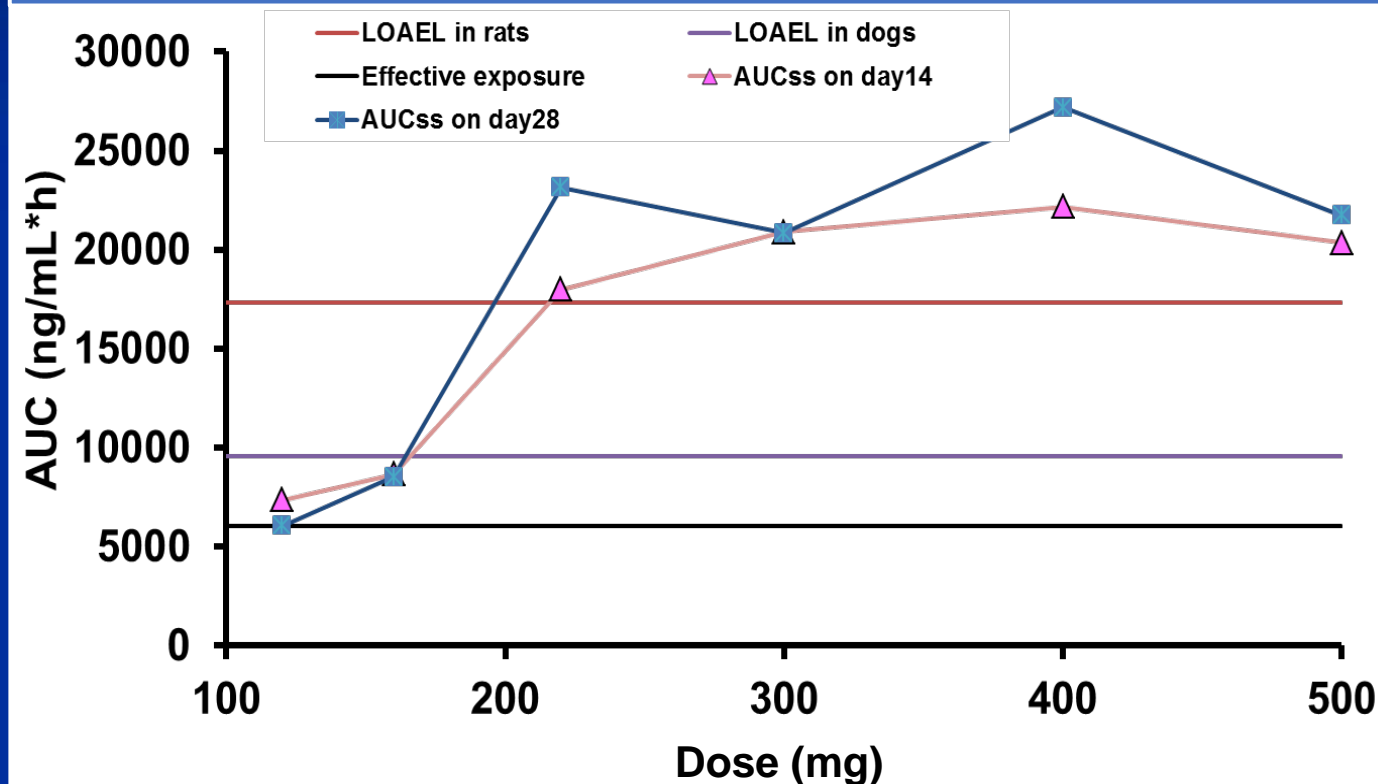
| Pt | Dose | First dose | SAE | Onset date | End date | Severity | Dose adjustment | causality | outcome |
|------|------|------------|-------------------|------------|------------|---|-----------------|------------------|-----------|
| 2008 | 400 | 2016/09/23 | GI bleed | 2016/12/03 | 2016/12/16 | Severe / CTC G3 | Drug suspension | Unlikely related | Recovered |
| 2010 | 500 | 2017/02/07 | Fever | 2017/01/29 | 2017/02/02 | Mild / CTC G1 | Not | Not related | Recovered |
| 2011 | 500 | 2017/02/23 | Platelet decrease | 2017/04/11 | 2017/05/25 | Life-threatening or disability / CTC G4 | Discontinuous | Possibly related | Recovered |

Pharmacokinetics

PK profiles from 120mg to 500mg



Dose-AUC_{ss} relationship (steady state)



- Single dosing: theliatinib exposure increased as dose increased
- After 300mg, steady-state plasma drug concentration did not increase significantly as dose increased

Efficacy by dose cohort

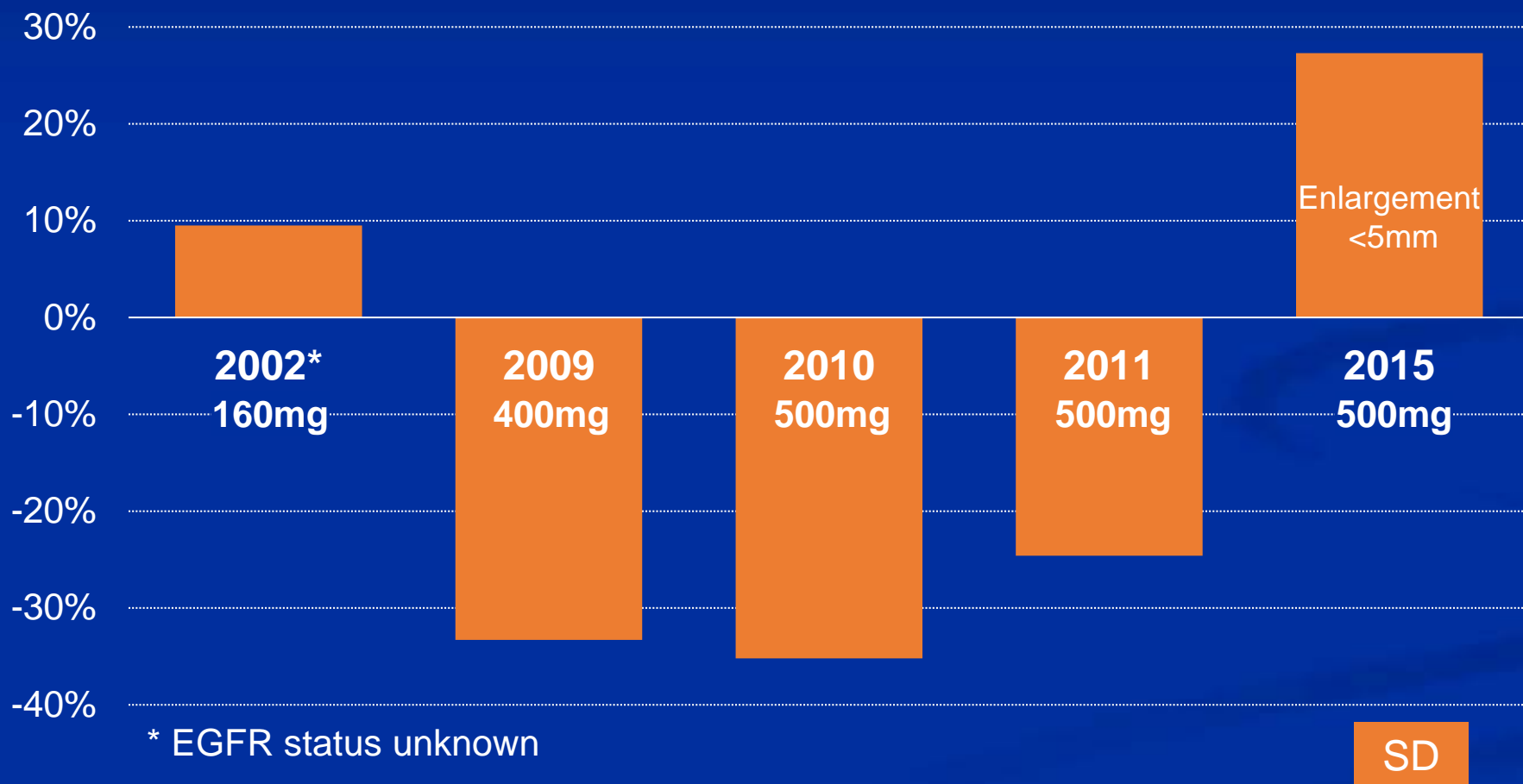
| Dose cohort | Subject No. | Tumor type | Best response | |
|-------------|-------------|------------|---------------|-------------------|
| 120 mg | 1001 | NSCLC | NA | |
| | 1002 | NSCLC | PD | (PD @ C2D1) |
| | 1003 | NPC | PD | (PD @ C3D1) |
| | 1004 | NPC | SD | (PD @ C4D1) |
| 160 mg | 1006 | NPC | PD | (PD @ C1D14) |
| | 1008 | NSCLC | SD | (PD @ C5D1) |
| | 1010 | NSCLC | PD | (PD @ C3D1) |
| | 2002 | EC | NE | (withdraw @ C2D1) |
| 220 mg | 1012 | NPC | PD | (PD @ C2D1) |
| | 1013 | LC | PD | (PD @ C2D1) |
| | 2003 | EC | NA | |
| | 2004 | GC | PD | (PD @ C3D1) |

| Dose cohort | Subject No. | Tumor type | Best response | |
|-------------|-------------|------------|---------------|------------------------------|
| 300 mg | 2005 | CRC | SD | (PD @ C4) |
| | 2006 | CRC | PD | (PD @ C2D1) |
| | 2007 | CRC | SD | (PD @ C4D1) |
| 400 mg | 1014 | NPC | PD | (PD @ C2D1) |
| | 2008 | EC | SD | (PD @ C8D1) |
| | 2009 | EC | SD | (Withdraw @ C3; PD @ EOT) |
| 500 mg | 2010 | EC | SD | (PD @ C4D1) |
| | 2011 | EC | PD | (PD @ C2D15) |
| | 2015 | EC | SD | (ONGOING @ C2) |

Note: NPC: Nasopharyngeal carcinoma; EC: esophageal cancer; LC: lung cancer; GC: gastric cancer; CRC: colorectal cancer

Efficacy in esophageal cancer patients – dose escalation phase

Best response of target lesions

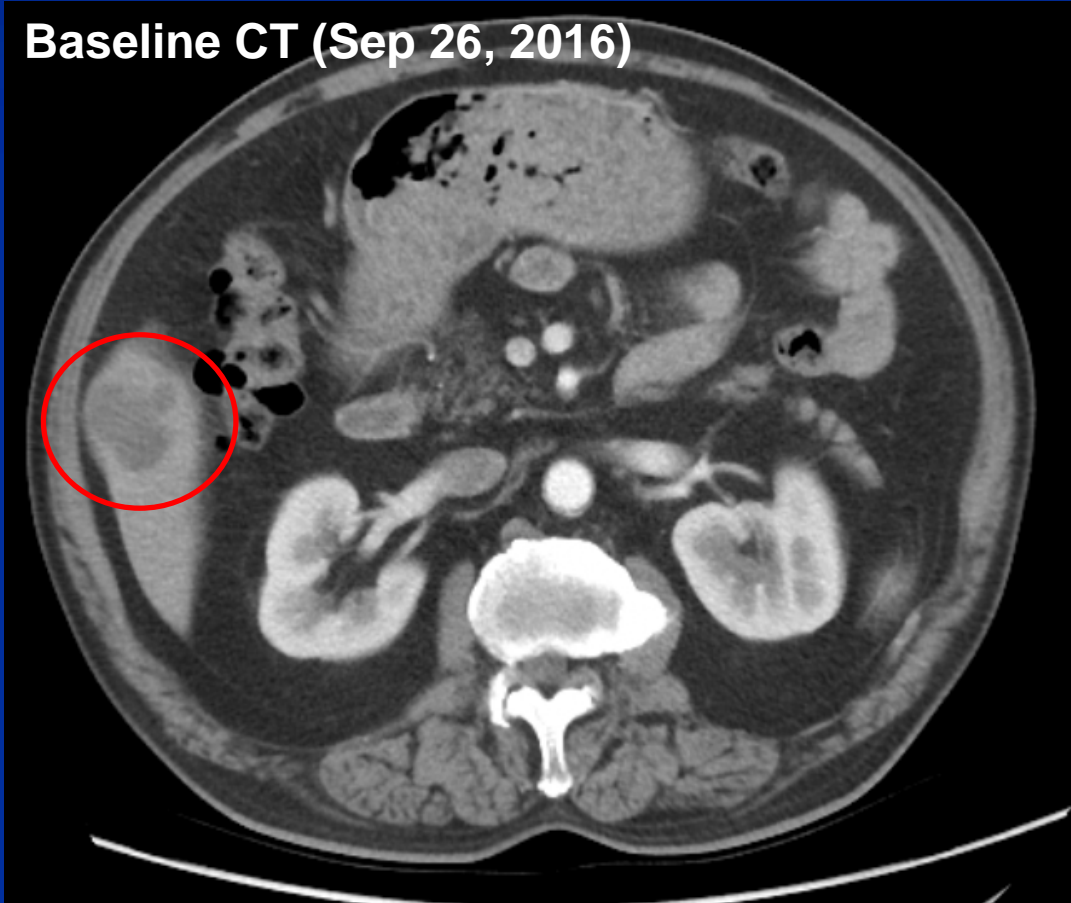


- 7 EC patients enrolled
- 5 have measurable disease and at least one post treatment tumor assessment
 - 1 withdrawal
 - 1 no measurable disease
 - 1 (#2015) is on treatment, SD on C1

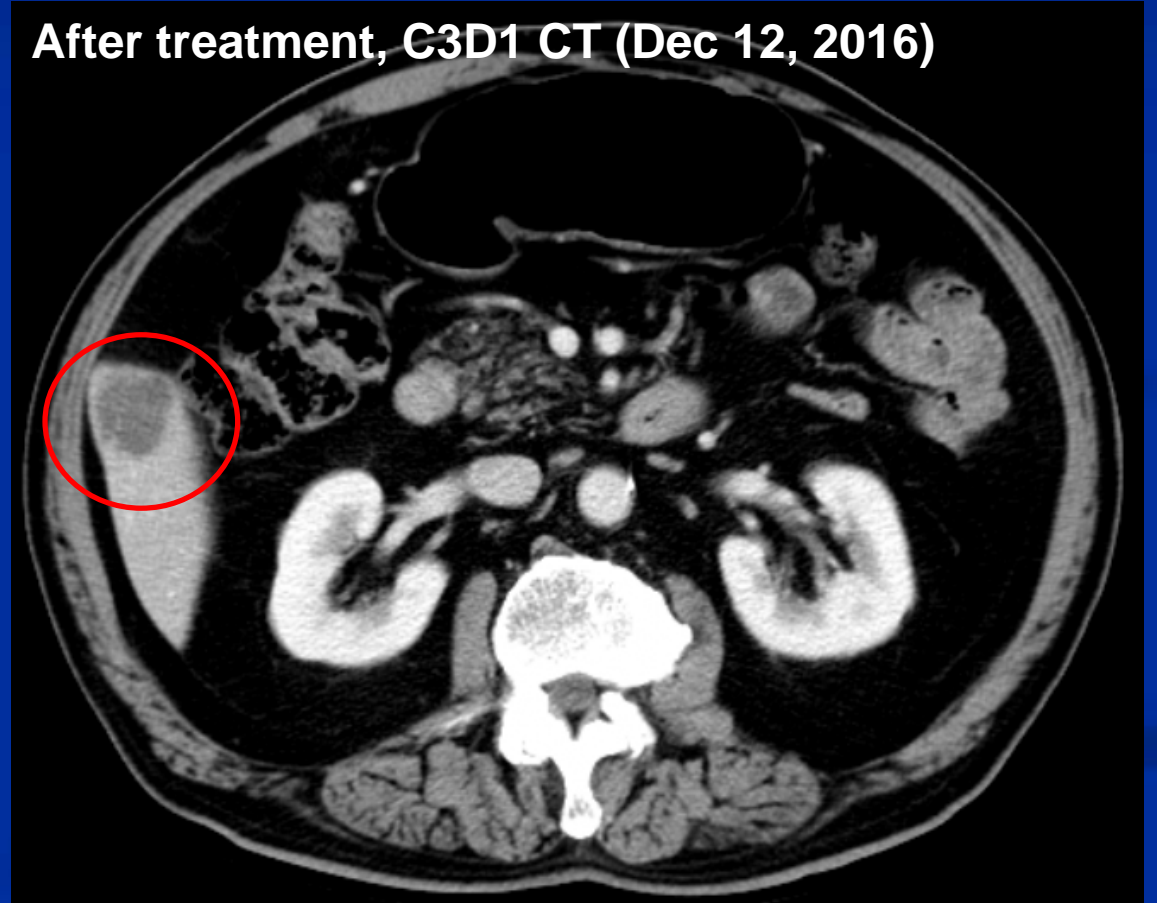
Patient profile (#2009): After 2 weeks' treatment, target lesion (liver metastasis) shrank from 36mm to 23mm diameter

Male, 62, EGFR+ ESCC Stage IV (cT3N0M1); 400mg/d theliatinib treatment

Baseline CT (Sep 26, 2016)



After treatment, C3D1 CT (Dec 12, 2016)



Conclusions

- Theliatinib 120mg~500mg QD single drug, continuous dosing, good safety and well-tolerated, no DLT, MTD not determined
- PK: Drug exposure increased with dose increasing 300 mg and above dose can effectively reach plasma concentration that inhibits EGFR phosphorylation
- Efficacy: 18 evaluable patients:
 - SD 8 cases (44.4%)
 - PD 10 cases (55.6%)
 - 12-week DCR 44.4%
- Plan to further explore efficacy and safety of theliatinib at 400mg dose on EGFR highly active esophageal cancer patients

Acknowledgements

Thanks to all staff who participated in this study
Thank you for your hard work!

| Clinical sites | Investigators |
|---|---|
| Beijing Cancer Hospital | Lin Shen, Jifang Gong, Yan Li, Lulu Li |
| San Yat-sen University Cancer Center | Li Zhang, Hongyun Zhao, Wenfeng Fang, Yang Zhang |

**We welcome any interested
sites to join our study!**

Thank you!